

United States Senate

Financial Disclosures

Periodic Transaction Report for 02/07/2020 (Amendment 1)

Mrs. Kelly Loeffler (Loeffler, Kelly) Filed 03/12/2020 @ 3:59 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (22 Total) 1 Self 10 Joint 11 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
1	01/24/2020	Joint	<u>REZI</u>	Resideo Technologies, Inc.	Stock	Sale (Partial)	\$50,001 - \$100,000	--
2	01/08/2020	Spouse	--	Georgia State General Obligation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2021</i>	Municipal Security	Purchase	\$100,001 - \$250,000	--
3	01/08/2020	Spouse	--	Sumter County Georgia School District General Obligation Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 10/01/2023</i>	Municipal Security	Purchase	\$250,001 - \$500,000	--
4	01/17/2020	Spouse	--	Georgia State Road & Tollway Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2021</i>	Municipal Security	Purchase	\$100,001 - \$250,000	--
5	01/21/2020	Spouse	<u>DFS</u>	Discover Financial Services	Stock	Purchase	\$250,001 - \$500,000	--
6	01/27/2020	Joint	<u>REZI</u>	Resideo Technologies, Inc.	Stock	Sale (Partial)	\$100,001 - \$250,000	--
7	01/28/2020	Joint	<u>REZI</u>	Resideo Technologies, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
8	01/29/2020	Joint	<u>CMCSA</u>	Comcast Corporation	Stock	Sale (Partial)	\$15,001 - \$50,000	--
9	01/29/2020	Joint	<u>KEYS</u>	Keysight Technologies, Inc.	Stock	Sale (Full)	\$100,001 - \$250,000	--
10	01/29/2020	Joint	<u>ROST</u>	Ross Stores, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
11	01/30/2020	Joint	<u>AZO</u>	AutoZone, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
12	01/31/2020	Joint	<u>AZO</u>	AutoZone, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
13	01/31/2020	Joint	<u>ROST</u>	Ross Stores, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
14	01/31/2020	Joint	<u>TJX</u>	The TJX Companies, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
15	01/29/2020	Self	--	Blackstone Real Estate Investment Trust <i>Company:</i> The Blackstone Group Inc. (New York, NY) <i>Description:</i> Real Estate Investment Trust	Other Securities	Purchase	\$15,001 - \$50,000	--
16	01/29/2020	Spouse	--	Blackstone Real Estate Investment Trust <i>Company:</i> The Blackstone Group Inc. (New York, NY) <i>Description:</i> Real Estate Investment Trust	Other Securities	Purchase	\$100,001 - \$250,000	--
17	01/08/2020	Spouse	<u>PRU</u>	Prudential Financial, Inc. Put <i>Strike price:</i> \$87.50 <i>Expires:</i> 06/19/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
18	01/14/2020	Spouse	<u>UL</u>	The Unilever Group Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 08/21/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
19	01/14/2020	Spouse	<u>CVX</u>	Chevron Corporation Put <i>Strike price:</i> \$105.00 <i>Expires:</i> 06/19/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
---	------------------	-------	--------	------------	------------	------	--------	---------

20	01/14/2020	Spouse	<u>GS</u>	The Goldman Sachs Group, Inc. Put <i>Strike price:</i> \$215.00 <i>Expires:</i> 06/19/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
21	01/14/2020	Spouse	<u>CSCO</u>	Cisco Systems, Inc. Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 07/17/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
22	01/14/2020	Spouse	<u>CMCSA</u>	Comcast Corporation Put <i>Strike price:</i> \$42.50 <i>Expires:</i> 07/17/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Private Note to the Select Committee on Ethics (*Optional and Confidential*)

Amendment for the purpose of recharacterizing certain assets as joint assets.

United States Senate

Financial Disclosures

Periodic Transaction Report for 03/12/2020

Mrs. Kelly Loeffler (Loeffler, Kelly) Filed 03/12/2020 @ 4 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (25 Total) 0 Self 19 Joint 6 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
1	02/14/2020	Spouse	<u>AFL</u>	Aflac Incorporated Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 08/21/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
2	02/14/2020	Spouse	<u>CAT</u>	Caterpillar Inc. Put <i>Strike price:</i> \$120.00 <i>Expires:</i> 08/21/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
3	02/05/2020	Spouse	<u>EMR</u>	Emerson Electric Co. Put <i>Strike price:</i> \$67.50 <i>Expires:</i> 09/18/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
4	02/05/2020	Spouse	<u>DAL</u>	Delta Air Lines, Inc. Put <i>Strike price:</i> \$52.50 <i>Expires:</i> 09/18/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
5	02/05/2020	Spouse	<u>DFS</u>	Discover Financial Services Put <i>Strike price:</i> \$72.50 <i>Expires:</i> 07/17/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
6	02/05/2020	Spouse	<u>MRK</u>	Merck & Co., Inc. Put <i>Strike price:</i> \$77.50 <i>Expires:</i> 09/18/2020	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
7	02/05/2020	Joint	<u>HON</u>	Honeywell International Inc.	Stock	Sale (Full)	\$100,001 - \$250,000	--
8	02/06/2020	Joint	<u>AZO</u>	AutoZone, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
9	02/06/2020	Joint	<u>CMCSA</u>	Comcast Corporation	Stock	Sale (Full)	\$15,001 - \$50,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
10	02/06/2020	Joint	<u>KL</u>	Kirkland Lake Gold Ltd.	Stock	Sale (Full)	\$50,001 - \$100,000	--
11	02/06/2020	Joint	<u>TCEHY</u>	Tencent Holdings Limited	Stock	Sale (Partial)	\$15,001 - \$50,000	--
12	02/07/2020	Joint	<u>ARNA</u>	Arena Pharmaceuticals, Inc.	Stock	Sale (Partial)	\$50,001 - \$100,000	--
13	02/07/2020	Joint	<u>FNKO</u>	Funko, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
14	02/07/2020	Joint	<u>ROKU</u>	Roku, Inc.	Stock	Sale (Full)	\$100,001 - \$250,000	--
15	02/07/2020	Joint	<u>TCEHY</u>	Tencent Holdings Limited	Stock	Sale (Full)	\$15,001 - \$50,000	--
16	02/10/2020	Joint	<u>ARNA</u>	Arena Pharmaceuticals, Inc.	Stock	Sale (Partial)	\$50,001 - \$100,000	--
17	02/10/2020	Joint	<u>AZO</u>	AutoZone, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
18	02/10/2020	Joint	<u>FNKO</u>	Funko, Inc.	Stock	Sale (Partial)	\$15,001 - \$50,000	--
19	02/11/2020	Joint	<u>FNKO</u>	Funko, Inc.	Stock	Sale (Partial)	\$50,001 - \$100,000	--
20	02/11/2020	Joint	<u>ORLY</u>	O'Reilly Automotive, Inc.	Stock	Sale (Full)	\$15,001 - \$50,000	--
21	02/12/2020	Joint	<u>EEFT</u>	Euronet Worldwide, Inc.	Stock	Sale (Full)	\$100,001 - \$250,000	--
22	02/12/2020	Joint	<u>FNKO</u>	Funko, Inc.	Stock	Sale (Full)	\$50,001 - \$100,000	--
23	02/14/2020	Joint	<u>CTXS</u>	Citrix Systems, Inc.	Stock	Purchase	\$100,001 - \$250,000	--
24	02/14/2020	Joint	<u>XOM</u>	Exxon Mobil Corporation	Stock	Sale (Full)	\$250,001 - \$500,000	--
25	02/14/2020	Joint	<u>ORCL</u>	Oracle Corporation	Stock	Purchase	\$100,001 - \$250,000	--

Private Note to the Select Committee on Ethics *(Optional and Confidential)*

Transactions notified to filer on or after February 16, 2020.

